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# Linear independence results for the values of divisor functions series (Analytic Number Theory and Related Areas)

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# Linear independence results for the values of divisor functions series

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## Abstract

Let  $\{a_1(n)\}_{n \geq 1}$  be a purely periodic sequence of nonnegative integers, not identically zero, and  $\{a_\ell(n)\}_{n \geq 1}$  ( $\ell = 2, 3, \dots$ ) be the sequences defined inductively by  $a_\ell(n) := \sum_{d|n} a_{\ell-1}(d)$ . Then, for an arbitrary integer  $q$  ( $|q| > 1$ ), the numbers

$$1 \quad \text{and} \quad \sum_{n=1}^{\infty} a_\ell(n) q^{-n} \quad (\ell = 2, 3, \dots)$$

are linearly independent over  $\mathbb{Q}$ . In particular, the numbers

$$1 \quad \text{and} \quad \sum_{n=1}^{\infty} d_\ell(n) q^{-n} \quad (\ell = 2, 3, \dots)$$

are linearly independent over  $\mathbb{Q}$ , where  $d_\ell(n)$  are generalized divisor functions.

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## 1 Introduction

For an integer  $\ell \geq 1$ , we define the arithmetic function  $d_\ell(n)$  as the number of ordered factorization of  $n$  into exactly  $\ell$  factors, namely, the number of  $\ell$ -tuples of positive integers  $(d_1, \dots, d_\ell)$  with  $n = d_1 \cdots d_\ell$ . For example,  $d_1(n) = 1$  ( $n \geq 1$ ) and  $d_2(n)$  denotes the number of positive divisors of  $n$ . The arithmetic function  $d_\ell(n)$  is sometimes called the *generalized divisor function*. For each  $\ell \geq 1$ , the functions  $d_\ell(n)$  is multiplicative. Indeed, the function  $d_\ell(n)$  is given by the Dirichlet convolution

$$d_\ell(n) = (d_1 * d_{\ell-1})(n) = \sum_{m|n} d_{\ell-1}(m) \quad (n \geq 1),$$

where the sum is taken over all positive divisors  $m$  of  $n$ . This relation implies that the function  $d_\ell(n)$  can be obtained from the Dirichlet series expression of the  $\ell$ th power of Riemann zeta function

$$\zeta(s) = \sum_{n=1}^{\infty} 1/n^s:$$

$$\zeta(s)^\ell = \sum_{n=1}^{\infty} \frac{d_\ell(n)}{n^s} \quad (\operatorname{Re} s > 1).$$

Let  $\{a_1(n)\}_{n \geq 1}$  be a sequence of integers and  $\{a_\ell(n)\}_{n \geq 1}$  ( $\ell = 1, 2, \dots$ ) be the sequences defined inductively by

$$a_\ell(n) := \sum_{m|n} a_{\ell-1}(m) \quad (n \geq 1). \quad (1)$$

For example, the functions  $d_\ell(n)$  ( $\ell = 1, 2, \dots$ ) are generated from the unit function  $a_1(n) = 1$  ( $n \geq 1$ ). Consider the power series

$$f_\ell(z) := \sum_{n=1}^{\infty} a_\ell(n) z^n \quad (\ell = 1, 2, \dots). \quad (2)$$

If  $\{a_1(n)\}_{n \geq 1}$  is a periodic sequence, then the functions (2) converge in  $|z| < 1$ , since  $a_\ell(n) = O(n^\varepsilon)$  for any  $\varepsilon > 0$  (see Lemma 3). Furthermore, the function  $f_1(z)$  is a rational function in  $z$  in the region  $|z| < 1$  and the functions  $f_\ell(z)$  ( $\ell = 2, 3, \dots$ ) are expressed by (1) as Lambert series

$$f_\ell(z) = \sum_{n=1}^{\infty} \frac{a_{\ell-1}(n) z^n}{1 - z^n} \quad (|z| < 1).$$

In 1948, Erdős [2] gave the irrationality of

$$\sum_{n=1}^{\infty} d_2(n) q^{-n} = \sum_{n=1}^{\infty} \frac{1}{q^n - 1}$$

for any integer  $q > 1$  by showing that the  $q$ -adic expansion contains any arbitrary long string of zeros without being identically zero from some point on. In [3], we generalized Erdős' result as follows:

**Theorem A** ([3, Theorem 1.1]) *Let  $\{a_1(n)\}_{n \geq 1}$  be a purely periodic sequence of integers, not identically zero, and  $\{a_2(n)\}_{n \geq 1}$  be a sequence defined by (1). Then the value*

$$f_2(q^{-1}) = \sum_{n=1}^{\infty} a_2(n) q^{-n} = \sum_{n=1}^{\infty} \frac{a_1(n)}{q^n - 1}$$

*is irrational for any integer  $q$  ( $|q| > 1$ ).*

In this paper, under the nonnegativity condition on  $\{a_1(n)\}_{n \geq 1}$ , we generalize Theorem A by proving the linear independence result for the values of the power series (2).

Throughout this paper, let  $q$  be an integer with  $|q| > 1$ .

**Theorem 1.** *Let  $\{a_1(n)\}_{n \geq 1}$  be a purely periodic sequence of nonnegative integers, not identically zero, and  $\{a_\ell(n)\}_{n \geq 1}$  ( $\ell = 2, 3, \dots, m$ ) be sequences defined by (1). Then the  $m$  numbers*

$$1 \quad \text{and} \quad f_\ell(q^{-1}) = \sum_{n=1}^{\infty} a_\ell(n) q^{-n} \quad (\ell = 2, 3, \dots, m) \quad (3)$$

*are linearly independent over  $\mathbb{Q}$ .*

**Example 1.** *The  $m$  numbers*

$$1 \quad \text{and} \quad \sum_{n=1}^{\infty} d_{\ell}(n)q^{-n} = \sum_{n=1}^{\infty} \frac{d_{\ell-1}(n)}{q^n - 1} \quad (\ell = 2, 3, \dots, m)$$

*are linearly independent over  $\mathbb{Q}$ .*

**Example 2.** *Let  $\{a_1(n)\}_{n \geq 1}$  be the sequence defined by  $a_1(2k-1) = 1$  and  $a_1(2k) = 0$  for  $k \geq 1$ , and  $\{a_{\ell}(n)\}_{n \geq 1}$  ( $\ell = 2, 3, \dots$ ) be the sequences defined by (1). Then the numbers*

$$1, \quad \sum_{n=1}^{\infty} \frac{1}{q^{2n-1} - 1}, \quad \sum_{n=1}^{\infty} \frac{a_2(n)}{q^n - 1}, \dots, \sum_{n=1}^{\infty} \frac{a_{\ell}(n)}{q^n - 1}, \dots$$

*are linearly independent over  $\mathbb{Q}$ .*

**Remark 1.** It should be noted that the proof of Theorem 1 is elementary in the sense that we do not need a deep result about primes in arithmetic progressions by Alford, Granville, and Pomerance [1], as in our previous paper [3] for example. This simplification is due to the nonnegativity condition on  $\{a_1(n)\}_{n \geq 1}$ .

## 2 Lemmas

In this section, we derive an upper bound for the summatory function of  $a_{\ell}(n)$  over arithmetic progressions (Lemma 4). Let  $d(n) := d_2(n)$  be the number of positive divisors of  $n$ .

**Lemma 1.** *Let  $k \geq 1$  be an integer. Then we have for  $N \geq 1$*

$$\sum_{n=1}^N \frac{d(n)^k}{n} \leq (1 + \log N)^{2k}.$$

*Proof.* Since  $d(m\ell) \leq d(m)d(\ell)$  for any integers  $m$  and  $\ell$ , we have

$$\begin{aligned} \sum_{n=1}^N \frac{d(n)^k}{n} &= \sum_{n=1}^N \sum_{m|n} \frac{d(n)^{k-1}}{n} = \sum_{m=1}^N \left( \sum_{\substack{1 \leq n \leq N \\ m|n}} \frac{d(n)^{k-1}}{n} \right) \\ &= \sum_{m=1}^N \sum_{\ell=1}^{\lfloor \frac{N}{m} \rfloor} \frac{d(m\ell)^{k-1}}{m\ell} \leq \sum_{m=1}^N \frac{d(m)^{k-1}}{m} \sum_{\ell=1}^{\lfloor \frac{N}{m} \rfloor} \frac{d(\ell)^{k-1}}{\ell} \\ &\leq \left( \sum_{m=1}^N \frac{d(m)^{k-1}}{m} \right)^2. \end{aligned}$$

Hence we obtain inductively

$$\sum_{n=1}^N \frac{d(n)^k}{n} \leq \left( \sum_{m=1}^N \frac{1}{m} \right)^{2^k} \leq (1 + \log N)^{2^k}.$$

□

**Lemma 2.** Let  $k \geq 1$  be an integer. Let  $A \geq 1$  and  $B$  be coprime integers with  $-A < B < 2A$ . Then we have

$$\sum_{i=1}^N d(Ai + B)^k \leq 2^{2^{k+1}} N(1 + \log N)^{2^k}$$

for every integer  $N$  with  $N \geq (2A)^{2^{k-1}}$ .

*Proof.* Since  $\sqrt{AN+B} \leq \sqrt{2AN} \leq N$ ,

$$\begin{aligned} \sum_{i=1}^N d(Ai + B)^k &= \sum_{i=1}^N d(Ai + B)^{k-1} \sum_{m|Ai+B} 1 \\ &\leq \sum_{i=1}^N d(Ai + B)^{k-1} \left( 2 \sum_{\substack{m|Ai+B \\ m \leq \sqrt{Ai+B}}} 1 \right) \\ &\leq 2 \sum_{m=1}^N \sum_{\substack{1 \leq i \leq N \\ m|Ai+B}} d(Ai + B)^{k-1}. \end{aligned} \quad (4)$$

Suppose that  $m$  divides  $Ai + B$ . Since  $A$  and  $B$  are coprime, so are  $A$  and  $m$ . Hence there exists a unique integer  $r_m$  in the range  $-m + 1 \leq r_m \leq 0$  such that  $i \equiv -A^{-1}B \equiv r_m \pmod{m}$ . Let  $i = mj + r_m$ . Then there exist at most  $\lfloor \frac{N+m-1}{m} \rfloor \leq \lfloor \frac{N}{m} \rfloor + 1$  numbers  $j$  such that  $1 \leq i \leq N$ , so that

$$\begin{aligned} \sum_{\substack{1 \leq i \leq N \\ m|Ai+B}} d(Ai + B)^{k-1} &\leq \sum_{j=1}^{\lfloor \frac{N}{m} \rfloor + 1} d(Amj + Ar_m + B)^{k-1} \\ &\leq d(m)^{k-1} \sum_{j=1}^{\lfloor \frac{N}{m} \rfloor + 1} d\left(Aj + \frac{Ar_m + B}{m}\right)^{k-1}. \end{aligned} \quad (5)$$

Thus, for  $k = 1$ , we obtain by (4) and (5)

$$\begin{aligned} \sum_{i=1}^N d(Ai + B) &\leq 2 \sum_{m=1}^N \left( \left\lfloor \frac{N}{m} \right\rfloor + 1 \right) \leq 4N \sum_{m=1}^N \frac{1}{m} \\ &\leq 4N(1 + \log N). \end{aligned}$$

We continue the proof of Lemma 2 by induction on  $k$ . By the above argument, the claim holds for  $k = 1$ . Let  $k \geq 2$  and assume the lemma is true for  $k - 1$ . In the right hand side of (5), the integers  $A$  and  $\frac{Ar_m + B}{m}$  are coprime with

$$-A < \frac{Ar_m + B}{m} < 2A.$$

Furthermore by the assumption  $N \geq (2A)^{2^k-1}$ ,

$$\left\lfloor \frac{N}{m} \right\rfloor + 1 \geq \frac{N}{m} \geq \frac{N}{\sqrt{AN+B}} \geq \frac{N}{\sqrt{2AN}} \geq (2A)^{2^{k-1}-1}.$$

Hence, we obtain, by the induction hypothesis

$$\begin{aligned} \sum_{j=1}^{\lfloor \frac{N}{m} \rfloor + 1} d\left(Aj + \frac{Ar_m + B}{m}\right)^{k-1} &\leq 2^{2^k} \left(\frac{2N}{m}\right) \left(1 + \log\left(\frac{2N}{m}\right)\right)^{2^{k-1}} \\ &\leq 2^{2^k+2^{k-1}+1} \left(\frac{N}{m}\right) (1 + \log N)^{2^{k-1}}. \end{aligned} \quad (6)$$

Therefore by Lemma 1 together with (4), (5), and (6),

$$\begin{aligned} \sum_{i=1}^N d(Ai+B)^k &\leq 2^{2^{k+1}} N (1 + \log N)^{2^{k-1}} \sum_{m=1}^N \frac{d(m)^{k-1}}{m} \\ &\leq 2^{2^{k+1}} N (1 + \log N)^{2^k}. \end{aligned}$$

This completes the proof of Lemma 2.  $\square$

Let  $\{a_1(n)\}_{n \geq 1}$  be a purely periodic sequence of nonnegative integers, not identically zero, and  $\{a_\ell(n)\}_{n \geq 1}$  ( $\ell = 2, 3, \dots$ ) be sequences defined by (1). Define  $a := \max\{a_1(n) : n \geq 1\} > 0$ .

**Lemma 3.** *For each  $\ell = 1, 2, \dots$ , we have*

$$a_\ell(n) \leq a \cdot d(n)^{\ell-1} \quad (n \geq 1).$$

*Proof.* The assertion is trivial for  $\ell = 1$  and we have by the induction on  $\ell$

$$\begin{aligned} a_\ell(n) &= \sum_{m|n} a_{\ell-1}(m) \leq \sum_{m|n} a \cdot d(m)^{\ell-2} \\ &\leq a \cdot d(n)^{\ell-2} \sum_{m|n} 1 \\ &= a \cdot d(n)^{\ell-1}. \end{aligned}$$

$\square$

**Lemma 4.** *Let  $A$  and  $B$  be coprime integers with  $-A < B < 2A$ . For each  $\ell = 1, 2, \dots$ , the inequality*

$$\sum_{i=1}^N a_\ell(Ai+B) \leq 2^{2^\ell} a N (1 + \log N)^{2^{\ell-1}}$$

*holds for any integer  $N$  with  $N \geq (2A)^{2^{\ell-1}-1}$ .*

*Proof.* This follows immediately from Lemmas 2 and 3.  $\square$

**Lemma 5.** Let  $s \geq 1$  be a period length of  $\{a_1(n)\}_{n \geq 1}$ . Suppose that the positive integer  $n$  has the form  $n = m \prod_i p_i^{e_i}$ , where  $p_i$  are distinct prime numbers with  $p_i \equiv 1 \pmod{s}$  and coprime with  $m$ . Then, for each  $\ell = 1, 2, \dots$ , the function  $a_\ell(n)$  is expressed as

$$a_\ell(n) = a_\ell(m) \prod_i \binom{e_i + \ell - 1}{\ell - 1}. \quad (7)$$

*Proof.* The claim holds for  $\ell = 1$ , since  $n \equiv m \pmod{s}$  and  $\{a_1(n)\}_{n \geq 1}$  is periodic sequence with a period length  $s$ . Let  $\ell \geq 2$  and assume that (7) holds for  $\ell - 1$ . Then we have by the induction hypothesis

$$\begin{aligned} a_\ell(n) &= \sum_{d|n} a_{\ell-1}(d) = \sum_{d_1|m \prod_{i=1}^{k-1} p_i^{e_i}} \left( \sum_{d_2|p_k^{e_k}} a_{\ell-1}(d_1 d_2) \right) \\ &= \sum_{d_1|m \prod_{i=1}^{k-1} p_i^{e_i}} \sum_{j=0}^{e_k} a_{\ell-1}(d_1 p_k^j) \\ &= \sum_{d_1|m \prod_{i=1}^{k-1} p_i^{e_i}} \sum_{j=0}^{e_k} a_{\ell-1}(d_1) \binom{j + \ell - 2}{\ell - 2} \\ &= \binom{e_k + \ell - 1}{\ell - 1} \sum_{d_1|m \prod_{i=1}^{k-1} p_i^{e_i}} a_{\ell-1}(d_1), \end{aligned}$$

where we used the equality

$$\sum_{j=0}^{e_k} \binom{j + \ell - 2}{\ell - 2} = \binom{e_k + \ell - 1}{\ell - 1}.$$

Repeating this process, or applying induction over the values of  $k = 1, 2, \dots$ , we obtain

$$\begin{aligned} a_\ell(n) &= \left( \sum_{d|m} a_{\ell-1}(d) \right) \prod_i \binom{e_i + \ell - 1}{\ell - 1} \\ &= a_\ell(m) \prod_i \binom{e_i + \ell - 1}{\ell - 1}, \end{aligned}$$

which gives the desired result.  $\square$

Applying Lemma 5 to the function  $d_\ell(n)$ , we have the formula

$$d_\ell(n) = \prod_{p|n} \binom{v_p(n) + \ell - 1}{\ell - 1},$$

where  $v_p(n)$  is the exponent of  $p$  in the prime factorization of  $n$  (cf. [4, Theorem 7.5]).

### 3 Preliminaries

Let  $m \geq 2$  be an integer and  $\{\theta(n)\}_{n \geq 1}$  a sequence defined by the linear combination of  $\{a_\ell(n)\}_{n \geq 1}$  ( $\ell = 2, 3, \dots, m$ ) over  $\mathbb{Z}$ :

$$\theta(n) := \sum_{\ell=2}^m b_\ell a_\ell(n) \quad (b_\ell \in \mathbb{Z}). \quad (8)$$

Let  $p_1$  be the least prime with  $p \equiv 1 \pmod{s}$  and  $p_1, p_2, \dots$  be increasing sequence of all the primes which are congruent to 1 modulo  $s$ , where  $s \geq 1$  be a period length of  $\{a_1(n)\}_{n \geq 1}$ . We choose a sufficiently large integer  $k$  with  $k > p_1$  and put

$$t_k := \frac{k(k+1)}{2}, \quad r_k := t_k + 1.$$

We denote  $q_1, q_2, \dots, q_{t_{2k}}$  by the first  $t_{2k}$  odd prime numbers satisfying  $q_i \equiv 1 \pmod{s}$  and all greater than  $4k^3$ . Let  $L := m!$  and  $q$  be an integer with  $|q| > 1$ . Then, by the Chinese Remainder Theorem, there exists an integer  $B_k$  satisfying the congruences

$$\left\{ \begin{array}{ll} B_k - k + 1 \equiv q_1^{|q|L-1} & \pmod{q_1^{|q|L}}, \\ B_k - k + 2 \equiv (q_2 q_3)^{|q|L-1} & \pmod{(q_2 q_3)^{|q|L}}, \\ \vdots & \vdots \\ B_k - 1 \equiv (q_{r_{k-2}} \cdots q_{t_{k-1}})^{|q|L-1} & \pmod{(q_{r_{k-2}} \cdots q_{t_{k-1}})^{|q|L}}, \\ B_k + 1 \equiv (q_{r_k} \cdots q_{t_{k+1}})^{|q|L-1} & \pmod{(q_{r_k} \cdots q_{t_{k+1}})^{|q|L}}, \\ \vdots & \vdots \\ B_k + k \equiv (q_{r_{2k-1}} \cdots q_{t_{2k}})^{|q|L-1} & \pmod{(q_{r_{2k-1}} \cdots q_{t_{2k}})^{|q|L}}, \end{array} \right. \quad (9)$$

which furthermore is unique under the additional inequality

$$1 \leq B_k \leq A_k,$$

where

$$A_k := \prod_{\substack{i=1 \\ i \neq r_{k-1}, \dots, t_k}}^{t_{2k}} q_i^{|q|L}.$$

In what follows, let  $c_1, c_2, \dots$  be positive constants which may depend on  $q, m$ , and the function  $\{a_1(n)\}_{n \geq 1}$  (in fact, only on  $s$  and  $a := \max\{a_1(n) : 1 \leq n \leq s\}$ ) but are independent of  $k$ . Since the  $n$ th prime  $p_n$  in the arithmetic progression  $p_i \equiv 1 \pmod{s}$  satisfies the inequality

$$p_n \leq 2sn \log n$$

for large  $n$ , we have

$$B_k \leq A_k \leq \prod_{i=1}^{t_{2k}} p_{i+4k^3}^{|q|L} \leq e^{c_1 k^2 \log k}. \quad (10)$$



Let  $N_k := 2^{k^3}$  and

$$S(k) := \{u_{k,i} := A_k i + B_k \mid i = 1, \dots, N_k\}.$$

We put  $p := p_1$  and choose a positive integer  $\nu$  with  $p < |q|^\nu$ . Let  $h \geq 1$  be the least integer with  $a(h) = a$ . Define the subsets of  $S(k)$ :

$$T_1 = T_1(k) := \{u_{k,i} \in S(k) \mid u_{k,i} \equiv 0 \pmod{hp^{\lfloor \frac{k}{\nu+1} \rfloor}}\},$$

$$T_\ell = T_\ell(k) := \{u_{k,i} \in S(k) \mid a_\ell(u_{k,i}) < 2^{2^\ell} ap^{\frac{k}{\nu}} (1 + \log N_k)^{2^{\ell-1}}\}$$

for each  $\ell = 2, 3, \dots, m$ , and put

$$T = T(k) := \bigcap_{\ell=1}^m T_\ell.$$

**Lemma 6.** *There exists an integer  $i_k$  ( $1 \leq i_k \leq N_k$ ) such that*

$$u_{k,i_k} = A_k i_k + B_k \in T,$$

such that

$$\sum_{n=1}^{2mk^3} |\theta(u_{k,i_k} + n + k)| \leq p^{\frac{k}{\nu}}.$$

*Proof.* First, we estimate lower bounds for the number of elements in each  $T_\ell$ . Since  $1 \leq h \leq s$  and

$$p_1 = p < k < 4k^3 < q_i < A_k,$$

the integer  $A_k$  is coprime with  $hp$ . Hence, we have

$$\#T_1 \geq \left\lfloor \frac{N_k}{hp^{\lfloor \frac{k}{\nu+1} \rfloor}} \right\rfloor \geq \frac{N_k}{hp^{\frac{k}{\nu+1}}} - 1, \quad (11)$$

where  $\#T_1$  denotes the number of elements in the set  $T_1$ . On the other hand, for each  $\ell = 2, 3, \dots, m$ , we have, by Lemma 4,

$$\sum_{i=1}^N a_\ell(Ai + B) \leq 2^{2^\ell} aN(1 + \log N)^{2^{\ell-1}}$$

for any coprime integers  $A$  and  $B$  with  $-A < B < 2A$ , if  $N \geq (2A)^{2^{\ell-1}-1}$ . Hence, putting  $A := A_k$ ,  $B := B_k$ , and  $N := N_k$ , we get for each  $\ell = 2, 3, \dots, m$ ,

$$\begin{aligned} 2^{2^\ell} aN_k(1 + \log N_k)^{2^{\ell-1}} &\geq \sum_{i=1}^{N_k} a_\ell(u_{k,i}) \geq \sum_{\substack{i=1 \\ u_{k,i} \notin T_\ell}}^{N_k} a_\ell(u_{k,i}) \\ &\geq (N_k - \#T_\ell) \cdot 2^{2^\ell} ap^{\frac{k}{\nu}} (1 + \log N_k)^{2^{\ell-1}}, \end{aligned}$$

which implies

$$\#T_\ell \geq \left(1 - \frac{1}{p^{\frac{k}{\nu}}}\right) N_k.$$

Thus, we have

$$\#(T_2 \cap T_3) \geq \#T_2 + \#T_3 - N_k \geq \left(1 - \frac{2}{p^{\frac{k}{\nu}}}\right) N_k,$$

and inductively

$$\#(\cap_{\ell=2}^m T_\ell) \geq \left(1 - \frac{m-1}{p^{\frac{k}{\nu}}}\right) N_k. \quad (12)$$

Therefore, we obtain, by (11) and (12),

$$\#T = \#(\cap_{\ell=1}^m T_\ell) \geq \left(\frac{N_k}{hp^{\frac{k}{\nu}+1}} - 1\right) - \frac{m-1}{p^{\frac{k}{\nu}}} N_k \geq \frac{N_k}{2hp^{\frac{k}{\nu}+1}}. \quad (13)$$

Define

$$\beta_k := \sum_{i=1}^{N_k} \sum_{n=1}^{2mk^3} |\theta(u_{k,i} + n + k)|.$$

By Lemma 4 with  $A := A_k$ ,  $B := B_k + n + k$  and  $N := N_k$ , we have the following upper bound which is uniform in  $n \in \{1, 2, \dots, 2mk^3\}$ :

$$\begin{aligned} \beta_k &\leq M \sum_{n=1}^{2mk^3} \sum_{\ell=2}^m \sum_{i=1}^{N_k} a_\ell(A_k i + B_k + n + k) \\ &\leq M \sum_{n=1}^{2mk^3} \sum_{\ell=2}^m 2^{2^\ell} a N_k (1 + \log N_k)^{2^{\ell-1}} \\ &\leq 2am^2 M k^3 \cdot 2^{2^m} N_k (1 + \log N_k)^{2^{m-1}} \\ &\leq c_2 k^{3 \cdot 2^m} N_k, \end{aligned} \quad (14)$$

where  $M := \max_s |b_s|$ . Thus, putting

$$\alpha_k := \min_{\substack{i=1,2,\dots,N_k \\ u_{k,i} \in T}} \left( \sum_{n=1}^{2mk^3} |\theta(u_{k,i} + n + k)| \right),$$

we obtain, by (13) and (14),

$$\begin{aligned} \alpha_k \frac{N_k}{2hp^{\frac{k}{\nu}+1}} &\leq \sum_{\substack{i=1 \\ u_{k,i} \in T}}^{N_k} \left( \sum_{n=1}^{2mk^3} |\theta(u_{k,i} + n + k)| \right) \\ &\leq \beta_k \\ &\leq c_2 k^{3 \cdot 2^m} N_k, \end{aligned}$$

which implies that  $\alpha_k \leq p^{\frac{k}{\nu}}$  for all sufficiently large  $k$ . □

Let  $i_k$  be as in Lemma 6 and put  $u_k := u_{k,i_k} \in T$ .

**Lemma 7.** *For sufficiently large  $k$ , we have*

$$\left| \sum_{n=1}^{\infty} \frac{\theta(u_k + n + k)}{q^n} \right| \leq 2p^{\frac{k}{\nu}}.$$

*Proof.* By (10), we have

$$u_k + 2mk^3 + k = A_k i_k + B_k + 2mk^3 + k \leq 2^{2k^3},$$

and hence, by Lemma 3,

$$\begin{aligned} |\theta(u_k + 2mk^3 + n + k)| &\leq M \sum_{\ell=2}^m a_{\ell}(u_k + 2mk^3 + n + k) \\ &\leq aM \sum_{\ell=2}^m d(u_k + 2mk^3 + n + k)^{\ell-1} \\ &\leq aM \sum_{\ell=2}^m (u_k + 2mk^3 + n + k)^{\ell-1} \\ &\leq 2^{2mk^3} mMn^m. \end{aligned} \tag{15}$$

Thus, we get, by Lemma 6 together with (15),

$$\begin{aligned} \left| \sum_{n=1}^{\infty} \frac{\theta(u_k + n + k)}{q^n} \right| &\leq \sum_{n=1}^{2mk^3} |\theta(u_k + n + k)| + \sum_{n=2mk^3+1}^{\infty} \frac{|\theta(u_k + n + k)|}{|q|^n} \\ &\leq p^{\frac{k}{\nu}} + \sum_{n=1}^{\infty} \frac{|\theta(u_k + 2mk^3 + n + k)|}{|q|^{2mk^3+n}} \\ &\leq p^{\frac{k}{\nu}} + mM \left( \frac{2}{|q|} \right)^{2mk^3} \sum_{n=1}^{\infty} \frac{n^m}{|q|^n} \\ &\leq 2p^{\frac{k}{\nu}}. \end{aligned}$$

□

**Lemma 8.** *Suppose that the infinite series*

$$b_1 := \sum_{n=1}^{\infty} \frac{\theta(n)}{q^n} \tag{16}$$

*is an integer. Then  $\theta(u_k) = 0$  holds for every large  $k$ .*

*Proof.* We have, by (9),

$$u_k + j = A_k i_k + B_k + j = m_{k,j} \prod_{i=r_{j+k-1}}^{t_{j+k}} q_i^{|q|L-1}$$

for each nonzero integer  $j = -k+1, \dots, k$ , where  $m_{k,j}$  is a positive integer coprime with all the primes  $q_i$  for  $i \in \{r_{j+k-1}, \dots, t_{j+k}\}$ . By (7), we get

$$\begin{aligned} a_\ell(u_k + j) &= a_\ell(m_{k,j}) \binom{|q|L + \ell - 1}{\ell - 1}^{t_{j+k} - r_{j+k-1} + 1} \\ &= \mu_{k,j,\ell} |q|^{k+j} \end{aligned}$$

for  $\ell = 2, 3, \dots, m$ , where  $\mu_{k,j,\ell}$  is an integer because  $(\ell - 1)! \mid L$ . Hence,

$$\theta(u_k + j) = \sum_{\ell=2}^m b_\ell a_\ell(u_k + j) \equiv 0 \pmod{|q|^{k+j}},$$

for each  $j = -k+1, \dots, k$  ( $j \neq 0$ ) and, by (16),

$$\begin{aligned} b_1 &= \sum_{n=1}^{u_k-k} \frac{\theta(n)}{q^n} + \left( \sum_{n=u_k-k+1}^{u_k-1} \frac{\theta(n)}{q^n} \right) + \frac{\theta(u_k)}{q^{u_k}} \\ &\quad + \sum_{n=u_k+1}^{u_k+k} \frac{\theta(n)}{q^n} + \sum_{n=u_k+k+1}^{\infty} \frac{\theta(n)}{q^n} \\ &= \frac{r_k}{q^{u_k-k}} + \frac{\theta(u_k)}{q^{u_k}} + \sum_{n=u_k+k+1}^{\infty} \frac{\theta(n)}{q^n}, \end{aligned} \tag{17}$$

where  $r_k$  is an integer. Multiplying both sides of (17) by  $q^{u_k}$  and using Lemma 7, we obtain

$$|b_1 q^{u_k} - r_k q^k - \theta(u_k)| = \left| \frac{1}{q^k} \sum_{n=1}^{\infty} \frac{\theta(u_k + n + k)}{q^n} \right| \leq 2 \left( \frac{p}{|q|^\nu} \right)^{k/\nu}. \tag{18}$$

By the definition of  $\nu$ , the right-hand side in (18) tends to zero as  $k$  tends to infinity, and so the integer

$$b_1 q^{u_k} + r_k q^k + \theta(u_k)$$

must be zero for sufficiently large  $k$ . Hence,  $\theta(u_k)$  is a multiple of  $q^k$  because

$$u_k = A_k i_k + B_k \geq A_k \geq q_1 > 4k^3 > k.$$

On the other hand, since  $u_k \in T$ ,

$$\begin{aligned} |\theta(u_k)| &\leq M \sum_{\ell=2}^m a_\ell(u_k) \leq M \sum_{\ell=2}^m 2^{2^\ell} a p^{\frac{k}{\nu}} (1 + \log N_k)^{2^{\ell-1}} \\ &\leq 2^{2^m} a m M p^{\frac{k}{\nu}} (1 + \log N_k)^{2^{m-1}} \\ &< |q|^k. \end{aligned}$$

Therefore,  $\theta(u_k) = 0$  for every large  $k$  and Lemma 8 is proved.  $\square$

## 4 Proof of Theorem 1

*Proof of Theorem 1.* Suppose on the contrary that the  $m$  numbers given at (3)

$$1 \quad \text{and} \quad f_\ell(q^{-1}) = \sum_{n=1}^{\infty} a_\ell(n) q^{-n} \quad (\ell = 2, 3, \dots, m)$$

are linearly dependent over  $\mathbb{Q}$ . Then there exist integers  $b_1$  and  $b_\ell$  for  $\ell = 2, 3, \dots, m$ , not all zero, such that

$$b_1 \cdot 1 - \sum_{\ell=2}^m b_\ell f_\ell(q^{-1}) = 0,$$

and hence

$$b_1 = \sum_{\ell=2}^m b_\ell f_\ell(q^{-1}) = \sum_{n=1}^{\infty} \frac{\theta(n)}{q^n} \quad (19)$$

is an integer, where

$$\theta(n) := \sum_{\ell=2}^m b_\ell a_\ell(n).$$

Applying Lemma 8, we see that there exists  $u_k \in T$  with  $\theta(u_k) = 0$  for sufficiently large  $k$ .

On the other hand, the sequences  $\{a_\ell(n)\}_{n \geq 1}$  ( $\ell \geq 1$ ) consist of nonnegative integers, and so we have

$$a_\ell(n) = \sum_{d|n} a_{\ell-1}(d) \geq a_{\ell-1}(n) \quad (20)$$

for every integer  $n$ . Furthermore, for each  $\ell \geq 1$

$$a_\ell(u_k) \geq a_1(h) = a > 0, \quad (21)$$

since  $u_k \in T_1$ , so that  $h \mid u_k$ . Thus, by (20) and (21),

$$\begin{aligned} |\theta(u_k)| &= \left| \sum_{\ell=2}^m b_\ell a_\ell(u_k) \right| \\ &\geq |b_r a_r(u_k)| - \left| \sum_{\ell=2}^{r-1} b_\ell a_\ell(u_k) \right| \\ &\geq a_r(u_k) - M(r-2) \cdot a_{r-1}(u_k) \\ &= a_{r-1}(u_k) \left( \frac{a_r(u_k)}{a_{r-1}(u_k)} - mM \right), \end{aligned} \quad (22)$$

where  $r \geq 2$  is the largest integer with  $b_r \neq 0$ . Since  $u_k \in T_1$ , the integer  $u_k$  has the form  $u_k = p^{\lambda_k} \eta_k$  with  $\lambda_k \geq \lfloor k/(\nu+1) \rfloor$ , where  $p$  and  $\eta_k$  are coprime. Hence, we have, by (7) and (20),

$$\frac{a_r(u_k)}{a_{r-1}(u_k)} = \left( 1 + \frac{\lambda_k}{r-1} \right) \cdot \frac{a_h(\eta_k)}{a_{h-1}(\eta_k)} \geq 1 + \frac{\lfloor \frac{k}{\nu+1} \rfloor}{m-1} > mM$$

for all sufficiently large  $k$ , which implies that  $\theta(u_k) \neq 0$  by (22). This is a contradiction which completes the proof of Theorem 1.

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